

**DIVISIONAL PATENT APPLICATION TRANSMITTAL**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: November 26, 2003  
Docket No. 01PAR001 C3  
Anticipated Classification of this Application:  
Class: \_\_\_\_\_ Subclass: \_\_\_\_\_  
Prior Pending Application: 09/954,130  
Examiner: Kaveh C. Kianni  
Art Unit: 2877

Sir:

Transmitted for filing is a Divisional application under 37 CFR 1.53(b) of:

Inventor(s) : Hengju Cheng and Lee J. Xu  
Title : Parallel Fiber Optics Communications Module  
Our Case No. : 01PAR001 C3

1. ☒ Enclosed is a copy of the prior application including a photocopy of the oath or declaration as originally filed. The prior application includes 31 pages of the specification, including claims, and 7 sheets of formal drawings.
2. ☐ An extension of time to respond to the office action of \_\_\_\_\_ was granted on \_\_\_\_\_ for \_\_\_\_\_ month(s).
3. ☒ A preliminary amendment is enclosed. The preliminary amendment cancels Claims 1-31, and 36-50.  
☒ The filing fee is calculated below:

	Number Filed	Number Extra	Rate	Basic Fee ( ) \$385.00 (X) \$770.00
Total Claims	<u>4</u>	<u>-20 = -0</u>	x	(0) \$ 9.00 = 0.00
Independent Claims	<u>1</u>	<u>- 3 = -1</u>	x	(0) \$ 18.00 = 0.00
			x	(0) \$ 43.00 = 0.00
			x	(0) \$ 86.00 = 0.00
Any multiple dependent claims ( ) Yes (X) No			x	(0) \$145.00 = 0.00
			x	(0) \$290.00 = 0.00

TOTAL FILING FEE .....\$770.00

4. ☒ The Commissioner is hereby authorized to charge our Deposit Account No. 50-1413 for the \$770.00 filing fee. A duplicate copy of this sheet is enclosed.
5. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to Deposit Account No. 50-1413. A duplicate copy of this sheet is enclosed.
6. ☒ Cancel in this application original Claims 1-31, and 36-50 of the prior application before calculating the filing fee.
7. ☐ Amend this specification by inserting before the first line the sentence:  
--This is a division of application Ser. No. 09/954,130 filed September 17, 2001. --
8. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
- 8a. ☐ A letter requesting entry of formal drawings and attached formal drawings are enclosed.
9. ☒ This application claims priority of application Serial No. 09/954,130, filed in the U.S. on 09/17/01, as provided under 35 U.S.C. 120, and is hereby incorporated herein by reference. This application is a division of U.S. Serial No. 09/954,130, filed September 17, 2001.  
  
( ) The certified copy has been filed in prior application Ser. \_\_\_\_\_, filed \_\_\_\_\_.
10. ☐ A verified statement claiming small entity status was enclosed in the prior application.
11. ☒ The prior application is assigned of record to Stratos Lightwave, Inc.
12. ☐ The power of attorney in the prior application is to Karl D. Kovach, Reg. No. 40,278.

22141 U.S. PTO  
10/722894



- 12a. (X) The power of attorney in the prior application is to Messrs. Karl D. Kovach (Reg. No. 40,278) of Stratos International, Inc.; Steven Evans (Reg. No. 35,503); Robert M. Barrett (Reg. No. 30,142); and David Newman (Reg. No. 37,196).
- 12b. (X) An Information Disclosure Statement, and form PTO-1449 are enclosed.
- 12c. ( ) The power appears in the original papers of the prior application.  
( ) Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
(X) Address all future communications to:

Karl D. Kovach  
Senior Patent Attorney  
STRATOS INTERNATIONAL, INC.  
7444 West Wilson Avenue  
Chicago, Illinois 60706

13. (X) A preliminary amendment is enclosed (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
14. ( ) I hereby verify that the attached papers are a true copy of prior application Serial No. \_\_\_\_\_, as originally filed on \_\_\_\_\_ and that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.53 (b) application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

Dated: November 26, 2003

By: Karl D. Kovach

Karl D. Kovach  
Reg. No.: 40,278  
Attorney for Applicant  
Stratos International, Inc.  
7444 West Wilson Avenue  
Chicago, Illinois 60706  
(708) 867-9600

"Express Mail No. EL80 797738805  
Date of Deposit November 26, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR §1.10 on the date indicated above and is addressed to:  
Mail Stop Patent Application,  
Commissioner for Patents,  
P.O. Box 1450,  
Alexandria, VA 22313-1450.

Karl D. Kovach